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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Guang-Chung, Lee et al.  
SERIAL NO: 10/796,608  
FILED: March 9, 2004  
INVENTION: "Refrigeration System"

DOCKET NO.: 37,535  
GROUP ART UNIT: 3744  
EXAMINER: Unknown

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- [x] Within three months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- [ ] After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- [ ] Payment of the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- [ ] The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- [ ] After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but before payment of the issue fee. The statement specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- [ ] The undersigned counsel for applicant(s) hereby states each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- [ ] The undersigned counsel for applicant(s) hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the statement.
- [ ] A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 01-0528. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

BP America Inc.



James R. Henes Reg. No. 26,908

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 April 26, 2005.



Gloria J. O'Bannon



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Guang-Chung, Lee et al. ) Attorney Docket: 37,535  
Serial No.: 10/796,608 ) Group Art: 3744  
Filed: March 9, 2004 ) Examiner: Unknown  
Title: Refrigeration System ) Conf. No.: 1777

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Washington, D.C. 22313-1450

Sir:

**CERTIFICATE OF MAILING**  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450. Washington, D.C. 22313-1450 on the date indicated below:

4-29-05 Flora O'Bryan  
Date Signature

Pursuant to 37 C.F.R. 1.97 and 1.98, Applicants submit the published documents identified in the enclosed form PTO 1449 for consideration by the Patent and Trademark Office in the examination of the above-identified patent application. We have not attached any U.S. Patent references in accordance with the USPTO ruling.

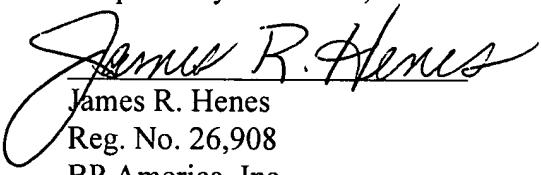
In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant to analogous to the claimed invention. Accordingly, the right to

argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

This Information Disclosure Statement is submitted before the mailing date of a first Office Action and thus no fee is required. However, the Commissioner is hereby authorized to charge any additional fees which may be required (except payment of the issue fee), or credit any overpayment to Deposit Account No. 01-0528.

Date: 4-24-05

Respectfully submitted,

  
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37 CFR 1.501 <b>INFORMATION DISCLOSURE STATEMENT IN A PATENT</b> (use several sheets if necessary)				Docket No. 37,535	Serial No. 10/796,608		
				Applicant Guang-Chung, Lee et al.			
				Filing date: March 9, 2004	Group Art Unit 3744		
<b>U.S. PATENT DOCUMENTS</b>							
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA	US 2002/0174679	11/28/02	Wei	62	612	
	AB	3,593,535	07/20/71	Gaumer, Jr.	62	23	
	AC	3,763,658	10/09/73	Gaumer, Jr. et al.	62	40	
	AD	5,430,223	07/04/95	Bauer	585	800	
	AE	5,379,597	01/10/95	Howard	62	23	
	AF	6,253,574	07/03/01	Stockmann et al.	62	612	
	AG	6,347,531	02/19/02	Roberts et al.	62	612	
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Patentee or Applicant	Class	Sub-Class	Translation
							Yes
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	C1						
	C2						
	C3						
	C4						
Examiner			Date Considered				
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							